

Nunawading Christian College

Complaints & Grievances Policy

Document Control

| Revision Number | Implementation Date | Review Date | Description of Changes | Prepared By | Approved By |
|--------------------|------------------------|----------------|----------------------------------|-----------------|-------------------|
| | | Jan 2017 | First policy | ASV | ASV BOD |
| 1 | Jan 2017 | Jan 2020 | Adapted for NCCP | NCCP Admin | School Council |
| 2 | May 2022 | May 2025 | Updated for currency to standard | Mark Roberts | School Council |



Preamble

Nunawading Christian College (the School) recognises that it is in the best interest of students and parents or guardians for there to be a trusting and cooperative relationship between members of the community and the School. Complaints and grievances are an important way for the School community to provide information and feedback to the School and request a formal response or corrective action. Nunawading Christian College considers that every complaint provides a valuable opportunity for reflection and learning.

Nunawading Christian College recognises a person's right to make a complaint and its responsibility to provide a framework within which efforts can be made to resolve complaints.

Nunawading Christian College believes that complaints are best handled in an environment where people feel able to speak up about issues concerning the education and wellbeing of students, and conduct of staff.

An effective complaint-handling system has a clear process for resolving complaints, treats people fairly, is timely and provides those people involved in a complaint with a fair opportunity to respond to issues and to present their views.

Note

This policy does not apply to matters about which there are existing rights (and processes) for review and appeal, such as matters relating to serious employee misconduct, student critical incidents and criminal activities.

When addressing concerns or complaints, Nunawading Christian College must:

- abide by relevant regulatory and legislative frameworks
- maintain confidentiality
- balance the rights and responsibilities of all parties
- ensure all parties are aware of their right to advocacy
- act in a manner that seeks to achieve an outcome acceptable to all parties.

All overseas students are required to comply with student visa conditions and Nunawading Christian College has a duty to comply with all appropriate student welfare support arrangements until the relevant complaints or appeals process is resolved.



The Complaints Manager

At Nunawading Christian College, the Complaints Manager is the Business Manager unless delegated.

The Complaints Manager or the Principal may appoint an Investigator to review the details of a complaint and submit a report. The Investigator may be an internal staff member of appropriate authority or a suitably qualified and experienced external provider.

Scope

This policy applies to all students, parents and members of the Nunawading Christian College community.

Purpose of this policy

The purpose of this policy is to ensure that:

- Nunawading Christian College meets its obligation to respond to complaints and grievances in a fair, effective and efficient manner
- Members of the community are informed of how they can make a complaint and the process to resolve the matter

Definitions

For the purpose of this policy the following terms are defined as follows:

- a "complaint" and/or "grievance" is an expression of dissatisfaction with an action taken, decision made or service provided, or the failure to provide a service, take action or make a decision at the School.
- a 'parent' includes:
 - o a person who has parental responsibility for 'major long term issues' as defined in the Family Law Act 1975 (Commonwealth)
 - a person appointed as 'guardian' pursuant to the Children Youth and Families Act 2005 (Victoria)
 - o an informal carer with whom the child normally or regularly resides, and who has day-to-day care and control of the child
 - o an adult student is any enrolled person 18 years or over
 - a complaint is considered to be 'unresolved' when agreement cannot be reached on a course of action and/or a remedy, or if the remedy cannot be implemented.
 - o a complaint is considered to be 'resolved' when an acceptable outcome to



- both parties has been reached.
- a complaint is considered to be 'finalised' when the Principal has made a final determination on the matter after exhausting the processes set out in this policy

Guiding principles

Nunawading Christian College's complaint-handling process reflects the following guiding principles, which are informed by the Australian/New Zealand Standard – Guidelines for complaint management in organisations (AS/NZS 10002:2014).

1. Visibility

Information about how and where to make a complaint, as well as how a complaint will be handled, should be regularly published within the School community.

The actions taken to respond to a complaint should be well documented and include the reasons underpinning any decisions made.

2. Accessibility

Information about how to make a complaint and the School's procedures when responding to a complaint should be easily accessible. The complaint-handling process should be flexible and include the ability to make a complaint in person, by phone and in writing. Support should also be given to complainants with special needs, including translations, interpreters and enabling a complainant to seek the services of an advocate.

3. Responsiveness

Receipt of written complaints should be acknowledged by communicating with the complainant as soon as possible. Complaints should be addressed promptly and the complainant kept informed of the progress of their complaint when the matter is complex and will take time to bring to resolution.

4. Objectivity

Each complaint must be treated in an equitable, objective and unbiased manner.

5. Cost

There should be no cost to the complainant for access to the complaint-handling process at the School.



6. Protection of Privacy

Personally identifiable information concerning the complainant should be actively protected from disclosure except where needed in relation to the complaint. This means that the complaint should only be discussed with those directly involved in the complaint-handling process.

7. Student-focused

The School should be open to feedback including complaints and should show a commitment to resolving complaints with the educational wellbeing of students as the first priority.

8. Accountability

Schools are required to have a fair, effective and efficient complaint- handling process. Schools are accountable, both internally and externally, for their decision making and complaint-handling performance. Schools need to be able to provide explanations and reasons for their decisions.

9. Continual Improvement

Complaint-handling procedures should be regularly reviewed for improvement. Complaint data and feedback should be used to identify recurrent themes and to implement improvement measures where a need is identified.

General information about handling complaints and grievances

Nunawading Christian College maintains and publicises a fair, effective and efficient complaint-handling process, so that complaints and grievances about events or decisions at the School can be addressed.

The Principal is responsible for the efficient and effective organisation, management and administration of the school including the school's complaint-handling processes.

Members of the community who have a complaint should, in the first instance, make the complaint to the appropriate staff member. This may be the class teacher, Advisor, Year Level Coordinator, Head of House, Head of Campus, Business Manager or Principal. Complaints may be referred to a member of the School's Leadership Team or Principal. Complaints about the School Principal should be referred to the Chief Executive Officer of the Seventh-day Adventist Schools (Victoria) Ltd (ASV) and / or Chair of the ASVBoard.



When addressing a complaint, it is expected that community members and School staff will:

- show respect and understanding of each other's point of view
- operate within applicable legislation
- acknowledge that their goal is to achieve an outcome acceptable to all parties
- act in good faith and in a calm and courteous manner
- recognise that all parties have rights and responsibilities which must be balanced.

Unreasonable complainant conduct

All complaints should be considered in accordance with the School's complaint-handling procedures, outlined in this document, including when the complaining party's behaviour is thought to be unreasonable.

The Principal considers a range of factors and views, however she may at any point in the process outlined in this policy consider a complaining party's behaviour to be unreasonable. In these circumstances, it is appropriate for the Principal to communicate the basis on which the conclusion was made to the complainant in writing. The Principal may also indicate an acceptable procedure for future communication with the complainant about their complaint.

Nunawading Christian College considers behaviour to be unreasonable when:

- it is clearly and significantly outside the expectations of co-operation, courtesy and respect.
- it calls for staff resources and time unjustified by the nature or significance of the complaint
- an action or complaint is brought without merit, often to cause annoyance to another person
- it is oriented towards conflict
- it has an unreasonable cost impact to the School.

Role of the School

Complaints and grievances are addressed in an environment where parties feel able to speak up about issues concerning the education and welfare of their children, about functions/operations of the School, or about student behaviour in the general community. This is reflected in open, two- way communication within the School, clear roles and responsibilities for all members of the School community, realistic expectations about what can be achieved by the School and an effective, published complaints and grievances



handling procedure.

The School's initial response to an incident or issue at the School is critical. It is appropriate to acknowledge what has occurred with those involved and offer an expression of regret for any upset or distress they may have experienced. Those involved in the matter should be assured that the complaint will be explored and, where possible, a full explanation will be provided once the facts are known. Where appropriate, the School should also indicate the steps it intends to take to prevent a similar incident or issue from occurring again.

School responsibilities

It is the responsibility of the School to respond to and address written (letter and email) and verbal (face-to-face and phone) complaints raised. (Refer appendix 1 for detailed procedures of complaint response)

Nunawading Christian College::

- maintains fair procedures for complaint-handling. As a minimum the procedures include:
 - o who to contact when making a complaint
 - a description of the actions the School could take once a complaint is received
 - a time frame for acknowledging written complaints and an expected time frame for complaint resolution
 - o the types of outcomes that the complainant could expect
 - information about what the School will do if the complaint is not able to be resolved by the School and the options the complainant has to take their complaint further
- considers all complaints by:raising the issues in the complaint with relevant staff and/or members of the School community
 - consulting, where appropriate, with relevant external stakeholders for technical or other advice
 - discussing the School's findings with the complainant in an attempt to reach an agreed resolution
 - considering the engagement of a mediator where a complaint has the potential to become intractable
- publicises the School's complaint-handling procedures within the School community and make them readily available
- reviews the School's complaint-handling procedures regularly



- ensures that formal complaints and grievances received are recorded and actions taken to resolve the complaint are well documentation
- ensures a School record of all formal complaints, both written and verbal, is maintained in the School's Assurance database
- ensures all School staff are aware of the School's complaint-handling procedures
- actively assists community members with the complaint process, informing them
 that at any point of the complaint process they are able to be supported by a support
 person. The complainant should inform the Principal if they want to include a support
 person in the complaint process and provide the name, contact details and the
 relationship to the complainant. A support person's role may include:
 - o assistance for the complainant to clarify the issues in the complaint
 - o discussion of difficulties being experienced by the complainant
 - assistance in the development of a co-operative and collaborative working relationship between the complainant and the School community
 - o assistance for the complainant to understand the Schools' policy and guidelines and the resolution being proposed for the complaint.

Resolving complaints

The Principal may enlist the services of external bodies to help resolve a complaint. Where a complaint is found to be justified, Nunawading Christian College is able to resolve the complaint by:

- an apology or expression of regret
- a change of decision
- a change of policy, procedure or practice
- a refund of payments
- offering the opportunity for counselling or other support



APPENDIX 1

Procedure for Handling Complaints

When the School receives a complaint (either written or verbal), the School must follow the processes outlined in the following steps:

1. Report the Complaint

A complaint is made by a member of the School Community or the general public to a member of staff. Members of the general public can make a formal complaint to the Complaints Manager email or phone call.

2. Acknowledge the complaint

The member of staff will acknowledge receipt of the complaint and will pass the information to the Complaints Manager.

3. Record the complaint

The complaint is recorded and the Complaints Manager is notified.

The Complaints Manager will determine if the complaint is warranted or vexatious. If the complaint is deemed warranted, the Complaints Manager will notify the Principal and an investigation will commence. If the complaint is deemed vexatious, the complainant will be advised and no investigation will be conducted.

4. Complaint handling

There are two different ways of handling complaints: through an informal process or a formal process. The Complaints Manager will determine the initial response based on consultation with members of the Executive Team and the complexity of the complaint.

a. <u>Informal process</u>

Most complaints, concerns and disputes raised with a staff member are of a minor nature, or the complaint is a result of misunderstanding or lack of communication. These kinds of complaints are better resolved through an informal process. Informal procedures can take three distinct forms:

 an informal discussion between the Principal or appropriate School Leader and the complainant often leads to resolution (sometimes, the complainant may just want the Principal or appropriate School leader to talk to the School staff member on their behalf)



- the Principal or appropriate School leader talks to both the complainant and the respondent separately and then reaches a resolution
- the Principal or appropriate School leader can bring the complainant and respondent together for conciliation

b. Conciliation

Conciliation is not mandatory however, bringing the complainant and respondent together to discuss their different perspectives on the issue encourages quick and simple resolution. If the complaint is resolved at this point, the complainant will be formally advised in writing by the Complaints Manager.

If, however, the informal process does not resolve the complaint, then formal procedures should be implemented. Note that an informal process may be formalised at any time by the Principal, her delegate, the complainant or the respondent.

c. Formal process

There are three steps to the formal procedure:

- 1. investigating the complaint;
- 2. making a finding;
- 3. determining appropriate action.

Formal procedures begin with requesting the complaint be put in writing, if it has not already been done. To investigate the complaint, the Principal or investigator must:

- · establish the precise nature of the complaint
- investigate the complaint for substance
- notify the respondent in writing of the complaints against them
- advise both the complainant and respondent of their right to have a support person with them at all interviews and discussions
- · interview the complainant and respondent separately
- keep written accounts of all interviews and discussions

Dismissal of complaint

To make a finding, the Principal or investigator must consider all the evidence. If it is established that the complaint has no substance and evidence is vague or ill-defined, then the complaint can be dismissed. A letter outlining the outcome of the investigation must be sent to both the complainant and the respondent.

Unsatisfactory performance procedures

If the evidence is clear and the Principal or the Complaints Manager can substantiate the complaint, appropriate action will then be determined by the Principal.

A written response outlining the issues, the decision made and the outcome of the complaint will be sent to both the complainant and the respondent.

It may not always be possible to resolve all complaints to the complainant's satisfaction. This could happen when the nature of the issues raised in the complaint is governed by Nunawading Christian College policies or procedures or if the complainant has unrealistic expectations about the outcome of their complaint.

Natural Justice

In all investigations, natural justice will be afforded to the respondent of any specific complaint made against them.

What is natural justice?

Natural justice is the right to be made aware of, and respond to information which will be used in the course of a decision that will negatively affect the person. It is also called procedural fairness.

In the context of a complaint made against an individual, natural justice requires that the individual be provided with sufficient information to enable them to understand and respond to the complaint made against them.

In most cases, the information that will be subject to natural justice considerations will only be part of all the information dealt with and collected in the course of the complaint process.

To provide natural justice, the decision-maker must give the person who may be negatively affected by a decision sufficient information (ie: a description of the possible decision, information on which any such decision would be based, and the criteria for making that decision) so that they may respond to the case against them.



Service Standards

| ACTION | RESPONSE FROM DATE OF RECIEPT OF COMPLAINT |
|--|--|
| Acknowledge complaint | 2 school working days |
| Assess the request and respond | 10 school working days |
| Complete investigation and notify complainant of outcome | 45 school working days |

Note: School working days does not include term breaks or non-student days.

Frivolous or Vexatious complaints

Vexatious complaints are considered serious misconduct. If a complaint is determined by the Complaints Manager, in due course, to be vexatious or frivolous in nature, then the Principal or the Complaints Manager can dismiss the complaint with no further action. The Complaints Manager will advise the complainant if the complaint is dismissed, and on what grounds. In these instances, if appropriate to the complaint, counselling may be offered to both the complainant and the respondent.

For the purpose of this policy:

- · Vexatious is defined as a claim made for the sole purpose of harassing or injuring another party.
- · Frivolous is defined as a claim that has no merit whatsoever.

Confidentiality and documentation

Complaint handling procedures must be confidential in nature.

It is the Principal's (or Principal's delegate's) and the Complaints Manager's



responsibility to ensure that all complaints are handled with absolute confidentiality and that all documents are confidentially and securely stored.

Students with a disability

Students with disabilities have rights under the Disability Discrimination Act 1992 (Commonwealth), the Disability Standards for Education 2005 (Commonwealth) and the Equal Opportunity Act 2010 (Victoria) to access their education on the same basis as their peers, including the right to reasonable adjustments.

As with all complaints to which this policy applies, parents should raise any concerns or complaints regarding the treatment of a student with a disability with the school in the first instance. Nunawading Christian College also recognises that parents of students with a disability can raise complaints or concerns regarding a student with a disability in a number of forums, including:

- the Australian Human Rights Commission in relation to complaints regarding compliance with the Disability Discrimination Act or the Disability Standards for Education
- the Victorian Equal Opportunity and Human Rights Commission in relation to complaints regarding compliance with the Equal Opportunity Act

Decision review

If the complainant is not satisfied with the investigation process or the official outcome, they may present written notification to the CEO of Seventh-day Adventist Schools (Vic) Ltd, requesting a review of the complaint resolution process.

The CEO (or delegate) will consider the request if:

- 1. new information is provided, and confirmed by the Chair after review,
- 2. a breach of procedure is established, or
- 3. the matter is considered to relate to the Board's responsibilities and function

The CEO (or delegate) will:



- 1. Acknowledge receipt of the request within 5 school working days via the Complaints Manager.
- 2. Assess the request and provide a written response on next steps within a further 10 school working days.
- 3. Complete the assessment and provide an outcome within a further 30 school working days.

Note: School working days does not include term breaks or non-student days.

The outcome will be:

- 1. To endorse the decision of the Principal of the School, or
- 2. Request the Principal to conduct a new investigation to review the complaint on the basis of any new information received, or an issue related to procedural breach.

The Complaints Manager will inform the complainant of the CEO's decision.

Appeals

In the event that a dispute between a complainant and the School is unable to be resolved within the School, the independent arbiter would be the CEO of the Seventh-day Adventist Schools (Ltd) or delegate.

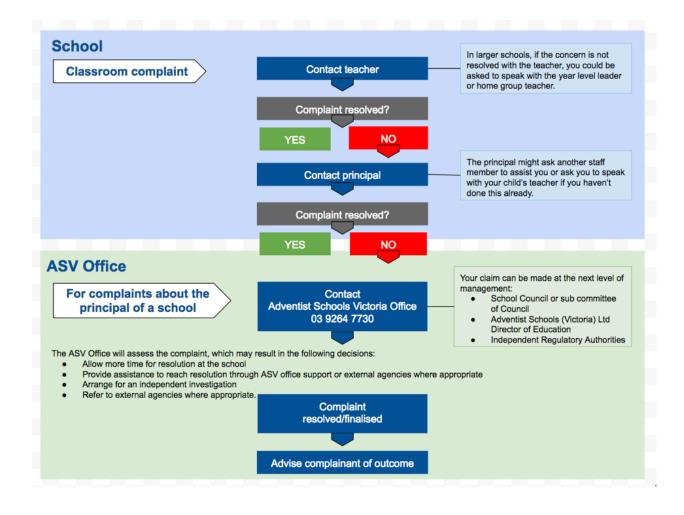
Alternatively, the complainant is within their rights to raise the dispute with the CEO of the VRQA who can investigate the matter and seek resolution of the matter in accordance with their legislative responsibilities.

Contact VRQA on 03 9637 2806 or vrqu@edumail.vic.edu.au

VRQA website: www.vrqa.vic.edu.au



Flow Chart of Appeals Process





COMPLAINT MANAGEMENT FORM (Family)

This form is to be used to record details of meetings held between the Service and families to address and resolve a complaint or grievance submitted, as per our *Dealing with Complaints Policy*. A copy of this document should be recorded in the Complaints/Grievance Register.

COMPLAINTS HISTORY

| Name of person submitting complaint | | | | | | | |
|--|------------|--|------------|-----------|----------|------|--------|
| Date and time complaint was received by | Service | Date | | Time | | | |
| Name of employee who received complain notification | nt | | | | | | |
| Complaint was received by | | Complaint v | was respor | nded to v | vithin : | 24 h | nours? |
| □ Letter □ Email Attach to this f | form | □ Yes □ No | | | | | |
| Complaint is a notifiable incident / alleg See Appendix A | ation? | If 'yes', notification of the complaint has been made to the Regulatory Authority? | | | | | |
| □ Yes □ No | | □ Yes | Date | | Time | e | |
| Complaint was responded to by (name of | | | | | | | |
| employee) | | | | | | | |
| Date an Time | d | | | | | | |
| Addressing complaint (Response / steps to meeting arranged Other: Provide details here | aken to ad | ddress compl | laint) | | | | |



RECORD OF MEETING TO ADDRESS/ RESOLVE COMPLAINT/GRIEVANCE

| Dat e | | Venu e | | Meeting Opened | | | | |
|--|--------------------------------|-----------|---------------|--|----------------------|--|----------------------------------|--|
| Attendees representing family | | | | | | | | |
| Attendees representing Service | | | | | | | | |
| Record of discussion points (circumstances and facts) | | | Actio | Action/s to be taken Person To be addressed by (a le | | | | |
| | | | | | | | | |
| Furth meet requi | ing | | □ Yes □ No | If 'Yes', date, time, and venue for next scheduled meeting | | | | |
| Best prepression family contactelephrequire | senti / to ct by none | ing y | | Best cont number fo family represent e | or | | Best times to make contact | |
| Person representing the Service responsible for making telephone conta | | | act | | Meeting closed at | | | |



FOLLOW UP

| Management will provide a written response outlining the outcome and | Written response provided by: |
|--|--|
| provide a copy to all parties involved within 7 days. | On (date): |
| Should management decide not to proceed with the investigation after initial enquiries, a written notification | ☐ Not required Written response provided by: |
| outlining the reasoning will be provided to the complainant. | On (date): |

EVALUATION- CONTINUOUS IMPROVEMENT [consider effectiveness in responding to and resolving complaint.]

| Has a satisfactory resolution been achieved? | □ Yes □ No |
|--|-------------------------------|
| Was the Service policy and procedures effective in providing guidance for the handling of the complaint/grievance? | □ Yes □ No |
| Was the Service policy and procedures effective to ensure the complaint/grievance was handled fairly and professionally? | □ Yes □ No |
| Do all parties agree that the complaint/grievance was handled fairly and professionally? | □ Yes □ No |
| Feedback from Staff, educators and families regarding the policy are survey or other feedback methods) | d procedure. (Consider family |
| | |
| Action to be taken if any questions above have been answered with | 'No' |
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Under the National Law and Regulations, the approved provider must notify the regulatory authority of certain incidents and allegations while a child or children are being educated and cared for by the service.

- serious incidents
- · complaints
- circumstances at the service which pose a risk to the health, safety and wellbeing of children
- any incident or allegation that physical or sexual abuse of a child or children has occurred or is occurring while the child is being educated and cared for by the service,
- any allegation that sexual or physical abuse of a child has occurred or is occurring while the child is being educated and cared for by the service.

Complaints

The approved provider must notify the regulatory authority within 24 hours of any complaint alleging that a serious incident has occurred while the child is being educated and cared for or complaints alleging that the Law has been contravened (Section 174(2)(b))

Source: ACECQA